

**VESTIE, INC.**

Privacy Notice (Regulation S-P)

**PRIVACY NOTICE**Internet Investment Adviser · 1920 McKinney Ave, 7th Floor, Dallas, TX 75201 · <https://vestie.com> · Effective: July 1, 2026 · Version 1.0

*This is Vestie's privacy notice to clients under the SEC's Regulation S-P (the Gramm-Leach-Bliley Act). It is delivered to each client at the start of the advisory relationship and annually thereafter, where required. It is separate from, and controls over, any general website privacy policy.*

| FACTS        | WHAT DOES VESTIE, INC. DO WITH YOUR PERSONAL INFORMATION?                                                                                                                                                                                                                                                                                  |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Why?</b>  | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully.                                                    |
| <b>What?</b> | The types of personal information we collect and share depend on the product or service you have with us. This information can include: Social Security number and contact information; income, net worth, and account balances; investment objectives, risk tolerance, and transaction history; and bank account and payment information. |
| <b>How?</b>  | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons Vestie chooses to share, and whether you can limit this sharing.                                          |

| Reasons we can share your personal information                                                                                                                          | Does Vestie share? | Can you limit this sharing? |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------------------------|
| For our everyday business purposes — to process your transactions, maintain your account, respond to court orders and legal investigations, or report to credit bureaus | Yes                | No                          |
| For our marketing purposes — to offer our products and services to you                                                                                                  | Yes                | No                          |
| For joint marketing with other financial companies                                                                                                                      | No                 | We don't share              |
| For our affiliates' everyday business purposes — information about your transactions and experiences                                                                    | No                 | We don't share              |
| For our affiliates' everyday business purposes — information about your creditworthiness                                                                                | No                 | We don't share              |
| For our affiliates to market to you                                                                                                                                     | No                 | We don't share              |
| For nonaffiliates to market to you                                                                                                                                      | No                 | We don't share              |

**Questions?** Call (903) 910-3003, email [hey@vestie.com](mailto:hey@vestie.com), or write to Vestie, Inc., 1920 McKinney Ave, 7th Floor, Dallas, TX 75201.

**Who We Are**

**Who is providing this notice?** Vestie, Inc., an internet investment adviser registered with the U.S. Securities and Exchange Commission.

**What We Do**

**How does Vestie protect my personal information?** To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These include computer safeguards, encryption of data in transit and at rest, multi-factor authentication, role-based access controls, and secured files and systems. We maintain an information-security program and an incident-response program consistent with Regulation S-P.

**How does Vestie collect my personal information?** We collect your personal information when you open an account and use our platform, complete our onboarding questionnaire, provide account or contact information, connect a bank account, or make payments. We also collect information from third parties, such as our custodian and payment and account-connectivity providers.

**Why can't I limit all sharing?** Federal law gives you the right to limit only sharing for affiliates' everyday business purposes (information about your creditworthiness), affiliates from using your information to market to you, and sharing for nonaffiliates to market to you. Vestie does not share in any of these ways, so there is nothing for you to limit.

## Definitions

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**Affiliates:** Companies related by common ownership or control. Vestie has no affiliates.

**Nonaffiliates:** Companies not related by common ownership or control. Vestie does not share your personal information with nonaffiliates so they can market to you. Service providers we use to deliver the Services include Alpaca Securities LLC (brokerage and custody), Stripe (payment processing), and Plaid (account connectivity), which are bound by confidentiality obligations.

**Joint marketing:** A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Vestie does not jointly market.

## Other Important Information

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We will deliver this notice to each client at the start of the advisory relationship and annually thereafter for so long as the relationship continues, where required. If we change our privacy practices, we will provide a revised notice. You may request a copy at any time at the contact information above.